

PLANNED AUDIT 2011/2012

APPENDIX 1

System Name	Status	Audit Allocation	Total Days Used	Exception Issues	Audit Opinion	No. of Recs	High	No. Agreed	Medium	No. Agreed	Low	No. Agreed	Total Not Agreed
As at 15 September 2011													
<b>JOINT</b>													
<b>Brown Bins (1)</b>	TOR sent	20											
SODC			0.0										
VWHDC			0.0										
<b>Building Control (3)</b>	In Progress	10											
SODC			6.0										
VWHDC			5.0										
<b>Capital Accounting (1)</b>	To Commence	14											
SODC			0.0										
VWHDC			0.0										
<b>Car Parks (2)</b>	Draft out	20											
SODC			9.5										
VWHDC			9.5										
<b>Concessionary Fares (2)</b>	To Commence	10											
SODC			0.0										
VWHDC			0.0										
<b>Council Tax (1)</b>	In Progress	20											
SODC			2.0										
VWHDC			2.0										
<b>Creditor Payments (1)</b>	To Commence	20											
SODC			0.0										
VWHDC			0.0										
<b>Elections Payments (2)</b>	To Commence	14											
SODC			0.0										
VWHDC			0.0										
<b>Equalities and Diversity (2)</b>	To Commence	16											
SODC			0.0										
VWHDC			0.0										
<b>Establishment Listing (2)</b>	In Progress	6											
SODC			0.5										
VWHDC			0.5										
<b>Facilities Management (3)</b>	Final Issued	14											
SODC			9.0		Satisfactory	16	0	0	7	7	9	9	0
VWHDC			9.0		Satisfactory	13	0	0	7	7	6	6	0





**FOLLOW UP AUDITS 2010/2011**

System Name	Total Days Used	Original Audit Opinion Issued	Total No. of Recs Agreed	Implemented	Partly Implemented	Not Implemented	Ongoing
<b>SODC</b>							
LEADER Project Assurance	0.5	Satisfactory	6	5	1	0	0
Cornerstone EP, DR and BCP	0.5	Satisfactory	6	4	1	1	0
HR Grievance	0.5	Full	2	1	0	1	0
HR Recruitment	0.5	Satisfactory	2	1	0	1	0
Leisure Centres	0.5	Limited	9	8	1	0	0
Health and Safety	0.5	Limited	12	6	2	3	1
<b>VWHDC</b>							
Car Loans	0.5	Limited	10 - 8 now N/A	2	0	0	0
HR Grievance	0.5	Full	3	2	0	1	0
HR Recruitment	0.5	Satisfactory	4	2	1	1	0
Leisure Centres (WHTLC)	0.5	Limited	9	8	1	0	0
Leisure Centres (SOLL)	0.5	Limited	6	6	0	0	0
Petty Cash Procedures	0.5	Satisfactory	9 - 2 now N/A	6	0	1	0
Health and Safety	0.5	Limited	14	7	2	4	1
<b>TOTALS</b>							

**FOLLOW UP AUDITS 2011/2012**

System Name	Total Days Used	Original Audit Opinion Issued	Total No. of Recs Agreed	Implemented	Partly Implemented	Not Implemented	Ongoing
<b>SODC</b>							
<b>VWHDC</b>							
<b>TOTALS</b>							

## UNPLANNED WORK 2011/2012

### CONSULTANCY

System Name	Status	Audit Allocation	Total Days Used	Requested By
<b>JOINT</b>				
Carbon Management Programme	To Commence Q3	TBC		Shared Policy & Engagement Manager
Harmonisation of HR Pro	To Commence Q4	5 days		Head of HR, IT & Customer
Housing Debt Management System	Completed	5 days	10.5 days	Section 151 Officer
Physical Transfer of Valuable Items	Completed	3 days	1 day	Section 151 Officer
<b>SODC</b>				
<b>VWHDC</b>				
Travel and Subsistence Receipts Spotchecks	Completed	2 days	1 day	Audit and Governance Committee

### CONTINGENCY

System Name	Status	Audit Allocation	Total Days Used	Requested By
<b>JOINT</b>				
<b>SODC</b>				
Investigation	To Commence Q3	TBC		Strategic Director
<b>VWHDC</b>				
Payment of Car Loan (Creed)	Completed	5 days	1.5 days	Section 151 Officer

### SYSTEM DEVELOPMENT

System Name	Status	Audit Allocation	Total Days Used	Requested By
<b>JOINT</b>				
<b>SODC</b>				
<b>VWHDC</b>				

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