

# AGENDA



Listening Learning Leading



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## A MEETING OF THE

# Joint Audit and Governance Committee

WILL BE HELD ON MONDAY 29 JANUARY 2018 AT 6.30 PM

MEETING ROOM 1, 135 EASTERN AVENUE, MILTON PARK, MILTON,  
OX14 4SB

### Members of the Committee:

South Oxfordshire District Council  
Charles Bailey  
Kevin Bulmer (Co-Chair)  
Toby Newman  
John Walsh

Vale of White Horse District Council  
Dudley Hoddinott  
Simon Howell (Co-Chair)  
Chris Palmer  
Henry Spencer

### Preferred Substitutes

South Oxfordshire District Council  
Joan Bland  
David Dodds  
Richard Pullen  
Alan Thompson

Vale of White Horse District Council  
Yvonne Constance  
Helen Pighills  
Judy Roberts  
Reg Waite  
Catherine Webber

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## **1 Apologies for absence**

To record apologies for absence and the attendance of substitute members.

## **2 Minutes** (Pages 5 - 10)

To adopt and sign as a correct record the Joint Audit and Governance Committee minutes of the meeting held on 25 September 2017 (attached).

## **3 Declarations of interest**

To receive any declarations of disclosable pecuniary interests in respect of items on the agenda for this meeting.

## **4 Urgent business and chairman's announcements**

To receive notification of any matters which the chairman determines should be considered as urgent business and the special circumstances which have made the matters urgent, and to receive any announcements from the chairman.

## **5 Public participation**

To receive any questions or statements from members of the public that have registered to speak.

## **6 External auditor's annual letters 2016/17** (Pages 11 - 66)

To consider the external auditor's annual audit letters 2015/16 (attached).

## **7 External auditor's report on the certification of claims and returns 2016/17** (Pages 67 - 74)

To consider the external auditor's report on the certification of claims and returns 2016/17 (attached).

## **8 South Oxfordshire and Vale of White Horse District Councils' Audit Planning Report 2017/18** (Pages 75 - 112)

To consider the external auditor's annual report (attached).

**9 Risk management framework** (Pages 113 - 124)

To consider the report of the interim head of corporate services (attached).

**10 Internal audit activity report quarter three 2017/2018** (Pages 125 - 190)

To consider the report of the interim audit manager (attached).

**11 Internal audit management report quarter three 2017/2018**  
(Pages 191 - 202)

To consider the report of the interim audit manager (attached).

**12 Statement of accounts 2017/18** (Pages 203 - 206)

To consider the report of the head of finance (attached).

**13 Treasury management mid-year monitoring report 2017/18**  
(Pages 207 - 218)

To consider the report of the head of finance (attached).

**14 South Oxfordshire District Council treasury management mid-year monitoring report 2017/18** (Pages 219 - 246)

To consider the report of the head of finance (attached).

**15 Vale of White Horse District Council treasury management mid-year monitoring report 2017/18** (Pages 247 - 272)

To consider the report of the head of finance (attached).

**16 Work programme** (Pages 273 - 274)

To review the work programme (attached).

MARGARET REED

Head of Legal and Democratic Services