

PLANNED AUDIT 2017/2018

APPENDIX 1

System Name	Status	Audit Allocation	Total Days Used	Exception Issues	Audit Opinion	No. of Recs	High	No. Agreed	Medium	No. Agreed	Low	No. Agreed	Total Not Agreed
As at 20 June 2017													
JOINT													
Budgetary Control (17)	Completed	10											
SODC			9.5		Satisfactory	4	0	0	1	1	3	3	0
VWHDC			9.5		Satisfactory	4	0	0	1	1	3	3	0
Capital Management and Accounting (21)	In Progress	14											
SODC			3.0										
VWHDC			3.5										
Car Parks (18)	Completed	20											
SODC			10.0		Satisfactory	5	0	0	3	3	2	2	0
VWHDC			10.0		Satisfactory	5	0	0	3	3	2	2	0
Council Tax (23)	In Progress	20											
SODC			14.5										
VWHDC			14.5										
Credit Card Usage (20)	Completed	8											
SODC			4.5		Limited	11	2	2	4	3	5	5	1
VWHDC			4.5		Limited	11	2	2	4	3	5	5	1
Creditor Payments (27)	In Progress	20											
SODC			0.0										
VWHDC			0.0										
Data Protection (22)	To Commence Q4	16											
SODC			0.0										
VWHDC			0.0										
Discretionary Grants (19)	To Commence Q4	14											
SODC			0.0										
VWHDC			0.0										
Emergency Planning (19)	To Commence Q4	10											
SODC			0.0										
VWHDC			0.0										
General Ledger (22)	In Progress	20											
SODC			0.5										
VWHDC			0.5										
Grounds Maintenance (18)	Completed	18											
SODC			7.5		Full	1	0	0	0	0	1	1	0
VWHDC			7.5		Full	1	0	0	0	0	1	1	0
Housing Benefits and CTRS (22)	Completed	30											
SODC			14.5		Full	1	0	0	0	0	1	1	0
VWHDC			14.5		Full	1	0	0	0	0	1	1	0
Housing Development (17)	To Commence Q4	20											
SODC			0.0										
VWHDC			0.0										
Information Governance (16)	To Commence Q4	20											
SODC			0.0										
VWHDC			0.0										
Internal Recharges (17)	To Commence Q4	16											
SODC			0.0										
VWHDC			0.0										
Licensing (20)	Completed	20											
SODC			10.0		Satisfactory	5	0	0	2	2	3	3	0
VWHDC			10.0		Satisfactory	5	0	0	2	2	3	3	0
Lone Working & Officer Security (21)	In Progress	14											
SODC			2.5										
VWHDC			2.5										
NNDR (21)	To Commence Q4	20											
SODC			0.0										
VWHDC			0.0										
Payroll (29)	In Progress	36											
SODC			22.0										
VWHDC			22.0										
Petty Cash Spot Checks (17)	In Progress	4											
SODC			0.5										
VWHDC			0.5										
Pro-active Anti-Fraud Review (20)	In Progress	14											
SODC			1.0										
VWHDC			1.0										
Property Management (18)	Completed	20											
SODC			10.0		Limited	5	1	1	1	1	3	3	0
VWHDC			10.0		Limited	5	1	1	1	1	3	3	0
Sundry Debtors (24)	In Progress	20											
SODC			8.5										
VWHDC			8.5										
Treasury Management (20)	Draft Out	14											
SODC			7.0										
VWHDC			7.0										
Tree Management & Inspections (15)	To Commence Q4	20											
SODC			0.5										
VWHDC			0.5										
SODC													
Leader Project Assurance (18)	Completed	10	10.0		Full	2	0	0	0	0	2	2	0
Leader Project Grant Verification (12)	Not Applicable	4	0.0	Function now performed by RPA not accountable body so no longer needed.	Not applicable	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
VWHDC													
The Beacon (19)	Completed	10	11.5		Satisfactory	13	0	0	6	6	7	7	0
IA PLANNED AUDIT TOTALS	-	462	274.0		Full 5 Substantial 0 Satisfactory 7 Limited 4 Nil 0	79	6	6	28	26	45	45	2
SODC PLANNED AUDIT TOTALS	-	233	136.25		Full 3 Substantial 0 Satisfactory 3 Limited 2 Nil 0	34	3	3	11	10	20	20	1
VWHDC PLANNED AUDIT TOTALS	-	229	137.75		Full 2 Substantial 0 Satisfactory 4 Limited 2 Nil 0	45	3	3	17	16	25	25	1

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