

PLANNED AUDIT 2018/2019

APPENDIX 1

System Name	Status	Audit Allocation	Total Days Used	Exception Issues	Audit Opinion	No. of Recs	High	No. Agreed	Medium	No. Agreed	Low	No. Agreed	Total Not Agreed
As at 8 March 2019													
<b>JOINT</b>													
<b>Brown Bins (19)</b>	<b>Completed</b>	20											
SODC			10.0		Limited	7	2	2	0	0	5	5	0
VWHDC			10.0		Limited	7	2	2	0	0	5	5	0
<b>Capital Management and Accounting (21)</b>	<b>In Progress</b>	14											
SODC			3.5										
VWHDC			3.5										
<b>Council Tax (23)</b>	<b>Completed</b>	20											
SODC			11.0		Satisfactory	4	0	0	2	2	2	2	0
VWHDC			11.0		Satisfactory	4	0	0	2	2	2	2	0
<b>Creditor Payments (27)</b>	<b>Draft Out</b>	20											
SODC			10.0										
VWHDC			10.0										
<b>Discretionary Grants (20)</b>	<b>Completed</b>	16											
SODC			12.5		Satisfactory	9	0	0	3	3	6	6	0
VWHDC			12.5		Satisfactory	9	0	0	3	3	6	6	0
<b>General Ledger (24)</b>	<b>In Progress</b>	20											
SODC			10.5										
VWHDC			10.5										
<b>Health &amp; Safety (20)</b>	<b>Carry Forward 19/20</b>	20		Audit pushed back into 2019/20 audit plan. Delayed due to overhaul of processes and procedures by management.									
SODC			0.0										
VWHDC			0.0										
<b>Housing Benefits and CTRS (21)</b>	<b>In Progress</b>	30											
SODC			15.5										
VWHDC			15.5										
<b>Housing Development (18)</b>	<b>Completed</b>	20											
SODC			10.0		Full	1	0	0	1	1	0	0	0
VWHDC			10.0		Full	1	0	0	1	1	0	0	0
<b>HR Management (16)</b>	<b>In Progress</b>	20											
SODC			7.0										
VWHDC			7.0										
<b>Insurance (27)</b>	<b>In Progress</b>	16											
SODC			1.0										
VWHDC			1.0										
<b>Lone Working &amp; Officer Security (23)</b>	<b>Carry Forward 19/20</b>	14		Audit pushed back into 2019/20 audit plan. Delayed until implementation of new lone working.									
SODC			0.0										
VWHDC			0.0										
<b>NDR (23)</b>	<b>Completed</b>	20											
SODC			10.0		Substantial	5	0	0	1	1	4	4	0
VWHDC			10.0		Substantial	5	0	0	1	1	4	4	0
<b>Payroll (28)</b>	<b>In Progress</b>	36											
SODC			18.5										
VWHDC			18.5										
<b>Petty Cash Procedures (17)</b>	<b>To Commence Q4</b>	4											
SODC			0.0										
VWHDC			0.0										
<b>Planning Appeals (15)</b>	<b>Completed</b>	20											
SODC			11.5		Satisfactory	6	0	0	3	3	3	3	0
VWHDC			11.5		Satisfactory	6	0	0	3	3	3	3	0
<b>Pro-active Anti-Fraud Review (26)</b>	<b>In Progress</b>	14											
SODC			3.5										
VWHDC			3.5										
<b>Procurement (27)</b>	<b>Carry Forward 19/20</b>	20		Audit pushed back into 2019/20 audit plan. Delayed due to overhaul of processes and procedures by management.									
SODC			0.0										
VWHDC			0.0										
<b>Property Management (24)</b>	<b>To Commence Q4</b>	20											
SODC			0.0										
VWHDC			0.0										
<b>Risk Management (28)</b>	<b>To Commence Q4</b>	14											
SODC			0.0										
VWHDC			0.0										
<b>Street Naming &amp; Numbering (4)</b>	<b>Completed</b>	8											
SODC			5.5		Satisfactory	7	0	0	3	3	4	4	0
VWHDC			5.5		Satisfactory	7	0	0	3	3	4	4	0
<b>Sundry Debtors (24)</b>	<b>In Progress</b>	20											
SODC			8.5										
VWHDC			8.5										
<b>Travel &amp; Subsistence (18)</b>	<b>Completed</b>	16											
SODC			9.0		Limited	8	2	2	3	3	3	3	0
VWHDC			9.0		Limited	8	2	2	3	3	3	3	0
<b>Treasury Management (21)</b>	<b>Completed</b>	14											
SODC			7.0		Satisfactory	3	0	0	3	3	0	0	0
VWHDC			7.0		Satisfactory	3	0	0	3	3	0	0	0
<b>SODC</b>													
None													
<b>VWHDC</b>													
None													
<b>IA PLANNED AUDIT TOTALS</b>		436	329.0		Full 2 Substantial 2 Satisfactory 10 Limited 4 Nil 0	100	8	8	38	38	54	54	0
<b>SODC PLANNED AUDIT TOTALS</b>		218	164.5		Full 1 Substantial 1 Satisfactory 5 Limited 2 Nil 0	50	4	4	19	19	27	27	0
<b>VWHDC PLANNED AUDIT TOTALS</b>		218	164.5		Full 1 Substantial 1 Satisfactory 5 Limited 2 Nil 0	50	4	4	19	19	27	27	0