AUDIT PLAN SCHEDULE 2019/2020 (As at 31 March 2020)

Key:

- Key financial audit
- Projected Start Date
- In Progress
- Draft Out
- Completed
- No longer applicable/ revised arrangements
- Confidential

PLANNED AUDITS

JOINT	PRIORITY	April				May					June				July				August				September				October					November				December				January					February				March				
		1	2	3	4	1	2	3	4	5	1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4	5	1	2	3	4	1	2	3	4	1	2	3	4	5	1	2	3	4	1	2	3	4
Budgetary Control	16																																																		
Capital Management & Accounting	22																																																		
Council Tax	24																																																		
Creditor Payments	26																																																		
Data Protection / GDPR	20																																																		
Development Management (Planning Applications)	20																																																		
Disabled Facility Grants	16																																																		
Elections & Election Payments	22																																																		
General Ledger	23																																																		
Health & Safety	21																																																		
Housing Benefits & Council Tax Reduction Scheme	21																																																		
Information Security (including cyber security)	21																																																		
Leisure Development	8																																																		
Lone Working / Officer Security	24																																																		
Mobile Home Parks	25																																																		
NNDR	22																																																		
Payroll	28																																																		
Performance Management	17																																																		
Pro-active Anti-Fraud Review	21																																																		
Procurement	27																																																		
Sundry Debtors	23																																																		
Treasury Management	21																																																		
SODC																																																		
Cornerstone	21																																																		
VWHDC																																																		
Moorings	9																																																		

JAGC: 1 July – 4 July; 1 October – 5 October; 1 March – 4 March

Christmas Office Shutdown: 4 December – 4 January

POTENTIAL AUDITS FOR 2019/2020: Depending on the outcome of recruitment activity for the two vacant auditor posts, the following audits will be performed if there are available audit days:

JOINT	PRIORITY	April				May					June				July				August				September				October					November				December				January					February				March				
		1	2	3	4	1	2	3	4	5	1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4	5	1	2	3	4	1	2	3	4	1	2	3	4	5	1	2	3	4	1	2	3	4
Land Charges	19																																																			
Assets of Community Value	11																																																			
Engineering Services (sewerage, flooding, drainage)	8																																																			

JAGC: 1 July – 4 July; 1 October – 5 October; 1 March – 4 March

Xmas: 4 December – 4 January

FOLLOW UP AUDITS

JOINT	PRIORITY	April				May					June				July				August				September				October					November				December				January					February				March				
		1	2	3	4	1	2	3	4	5	1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4	5	1	2	3	4	1	2	3	4	1	2	3	4	5	1	2	3	4	1	2	3	4
Brown Bins 2018/2019	N/A																																																		
Discretionary Grants 2018/2019	N/A																																																		
Insurance 2018/2019	N/A																																																		
Planning Appeals 2018/2019	N/A																																																		
Property Management 2018/2019	N/A																																																		
Risk Management 2018/2019	N/A																																																		
Street Naming & Numbering 2018/2019	N/A																																																		
Mobile Home Parks 2019/2020	N/A																																																		
Budgetary Control 2019/2020	N/A																																																		
Disabled Facility Grants 2019/2020	N/A																																																		
SODC																																																		
None																																																		
VWHDC																																																		
None																																																		

JAGC: 1 July – 4 July; 1 October – 5 October; 1 March – 4 March

Christmas Office Shutdown: 4 December – 4 January

UNPLANNED AUDITS

JOINT	PRIORITY	April				May					June				July				August				September				October					November				December				January					February				March				
		1	2	3	4	1	2	3	4	5	1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4	5	1	2	3	4	1	2	3	4	1	2	3	4	5	1	2	3	4	1	2	3	4
None																																																			
SODC																																																		
Planning applications investigation	N/A																																																		
Confidential investigation	N/A																																																	
VWHDC																																																		
None																																																		

JAGC: 1 July – 4 July; 1 October – 5 October; 1 March – 4 March

Shutdown: 4 December – 4 January