

PLANNED AUDIT 2020/2021

APPENDIX 1

Audit Area	Status	Audit Allocation	Total Days Used	Exception Issues	Audit Opinion	No. of Recs	High	No. Agreed	Medium	No. Agreed	Low	No. Agreed	Total Not Agreed
As at 11 September 2020													
KEY FINANCIAL AUDITS													
Capital Management & Accounting (16)	To Commence Q3												
SODC		8	0.0		Not yet applicable								
VWHDC		8	0.0		Not yet applicable								
Council Tax (20)	In Progress												
SODC		16	0.5		Not yet applicable								
VWHDC		16	0.5		Not yet applicable								
General Ledger (19)	To Commence Q3												
SODC		7.5	0.0		Not yet applicable								
VWHDC		7.5	0.0		Not yet applicable								
Housing Benefits & Council Tax Reduction Scheme (20)	To Commence Q3												
SODC		17	0.0		Not yet applicable								
VWHDC		17	0.0		Not yet applicable								
National Non-Domestic Rates (NNDR) (incl. COVID-19 Business Grants) (22)	To Commence Q3												
SODC		15	0.5		Not yet applicable								
VWHDC		15	0.5		Not yet applicable								
Payroll (incl. Travel & Subsistence, Pension Administration, Annual Leave Payments) (22)	To Commence Q3												
SODC		20	0.0		Not yet applicable								
VWHDC		20	0.0		Not yet applicable								
Pro-Active Anti-Fraud Review (19)	To Commence Q3												
SODC		10	0.0		Not yet applicable								
VWHDC		10	0.0		Not yet applicable								
Treasury Management (18)	To Commence Q3												
SODC		10	0.0		Not yet applicable								
VWHDC		10	0.0		Not yet applicable								
PLANNED ASSURANCE AUDITS													
Building Control (15)	To Commence Q4												
SODC		10	0.0		Not yet applicable								
VWHDC		10	0.0		Not yet applicable								
COVID-19 Response Governance Review	In Progress												
SODC		20	3.5		Not yet applicable								
VWHDC		20	3.5		Not yet applicable								
Health & Safety (17)	To Commence Q3												
SODC		10	0.0		Not yet applicable								
VWHDC		10	0.0		Not yet applicable								
HR Management & Reporting (incl. Annual Leave Calculation) (13)	In Progress												
SODC		14	0.5		Not yet applicable								
VWHDC		14	0.5		Not yet applicable								
PLANNED CONSULTANCY AUDITS													
Grounds & Parks Maintenance In-Sourcing	In Progress												
SODC		10	1.0		Not yet applicable								
VWHDC		10	1.0		Not yet applicable								
Corporate Landlord Approach	To Commence Q4												
SODC		10	0.0		Not yet applicable								
VWHDC		10	0.0		Not yet applicable								
JOINT PLANNED AUDIT TOTALS		355	12.0			0	0	0	0	0	0	0	0
Completed	0				Full	0							
Draft Out	0				Substantial	0							
In Progress	4				Satisfactory	0							
To Commence	10				Limited	0							

Audit Area	Total Days Used	Original Audit Opinion Issued	Total No. of Recs Agreed	Implemented	Partly Implemented	Not Implemented	Ongoing	No Longer applicable	New recommendations
JOINT									
None									
SODC									
None									
VWHDC									
None									
IA FOLLOW UP DURING 20/21 TOTALS	#REF!		#REF!	#REF!	#REF!	#REF!	#REF!	#REF!	#REF!
JOINT FOLLOW UP TOTALS	#REF!		#REF!	0	0	0	0	0	0
SODC FOLLOW UP TOTALS	0		0	0	0	0	0	0	0
VWHDC FOLLOW UP TOTALS	0		0	0	0	0	0	0	0

UNPLANNED WORK 2020/21

APPENDIX 1

CONSULTANCY

Description	Status	Audit Allocation	Total Days Used	Requested By
JOINT				
None	N/A	N/A	N/A	N/A
SODC				
None	N/A	N/A	N/A	N/A
VWHDC				
None	N/A	N/A	N/A	N/A

CONTINGENCY

Description	Status	Audit Allocation	Total Days Used	Requested By
JOINT				
None	N/A	N/A	N/A	N/A
SODC				
None	N/A	N/A	N/A	N/A
VWHDC				
None	N/A	N/A	N/A	N/A

SYSTEM DEVELOPMENT

System Name	Status	Audit Allocation	Total Days Used	Requested By
JOINT				
None	N/A	N/A	N/A	N/A
SODC				
None	N/A	N/A	N/A	N/A
VWHDC				
None	N/A	N/A	N/A	N/A

AD-HOC ADVICE

System Name	Status	Audit Allocation	Total Days Used	Requested By
JOINT				
General audit advice to service teams (incl chasing for information)	N/A	N/A	3	N/A
SODC				
General audit advice to service teams (incl chasing for information)	N/A	N/A	1.5	N/A
VWHDC				
General audit advice to service teams (incl chasing for information)	N/A	N/A	1.5	N/A