

No.	SERVICE AREA	SERVICE TEAM	AUDIT TOPIC	INTERNAL AUDIT REPORTS				OFFICER REQUEST		INTERNAL AUDIT RISK SCORING					THREE YEAR AUDIT PLAN			
				COUNCIL	LAST AUDITED	SODC LAST AUDIT RATING	VVHDC LAST AUDIT RATING	2022/23 YES/NO	2023/24 YES/NO	FINANCIAL RISK	FRAUD RISK (x2)	REPUTATIONAL RISK	LEGAL RISK	CORPORATE RISK	RISK SCORE (MIN.7, MAX.21)	2022/23	2023/24	2024/25
18	Legal & Democratic	Democratic Services	Corporate Governance	Joint	2014/15	Full	Full	No	No	1	2	3	1	2	12			
31	Housing & Environment	Housing Delivery	Mobile Home Parks	Joint	2019/20	Satisfactory	Satisfactory	No	No	2	2	2	1	1	12		Yes	
32	Housing & Environment	Housing Needs	Housing Allocations	Joint	2012/13	Full	Full	Yes	No	1	2	3	2	1	12	Yes		
53	Development & Corporate Landlord	Technical Services	Tree Management & Inspections	Joint	2017/18	Satisfactory	Satisfactory	Yes	No	2	1	3	2	1	12	Yes		
57	Development & Corporate Landlord	Technical Services	Car Parks	Joint	2021/22	Satisfactory	Satisfactory	No	No	2	2	2	1	1	12			
88	Policy & Programmes	Community Wellbeing	SODC Online Lottery	SODC	2018/19	Satisfactory	Satisfactory	No	No	2	2	2	1	1	12			Yes
96	Finance	Strategic Finance	Community Loans Scheme	SODC	2013/14	Full	Full	No	No	2	2	2	1	1	12		Yes	
100	Finance	Strategic Finance	Internal Recharges	Joint	2017/18	Satisfactory	Satisfactory	No	No	3	1	1	1	2	12			Yes
116	Finance	Exchequer & Procurement	Bank Contract & Arrangements	Joint	2014/15	Full	Full	No	No	2	1	1	2	3	12			Yes
127	Corporate Services	People & Culture	HR Advisory (incl. performance, grievance, absence)	Joint	2018/19	Limited	Limited	Yes	No	2	1	2	2	2	12	Yes		
137	Corporate Services	People & Culture	Organisational Culture	Joint	Not audited	No rating	No rating	No	Yes	2	1	2	2	2	12		Yes	
14	Legal & Democratic	Legal Services	Land Charges	Joint	2021/22	Satisfactory	Satisfactory	No	No	2	1	3	1	1	11			
20	Legal & Democratic	Democratic Services	Gifts and Hospitality (councillors)	Joint	2016/17	Substantial	Substantial	Yes	No	1	2	3	1	1	11	Yes		
28	Housing & Environment	Housing Delivery	Housing Delivery	Joint	Not audited	No rating	No rating	No	No	1	1	3	2	2	11			
40	Housing & Environment	Environmental Services	Food Safety	Joint	2013/14	Satisfactory	Satisfactory	Yes	No	1	1	3	2	2	11	Yes		
64	Partnerships	Strategic Partnerships	Growth Board Support (Future Oxfordshire Partnership)	Joint	Not audited	No rating	No rating	No	No	2	1	2	1	2	11			
65	Partnerships	Future Partnerships	Housing & Growth Deal Delivery	Joint	Not audited	No rating	No rating	No	No	2	1	2	1	2	11			
75	Policy & Programmes	Insight & Policy	Corporate Plan (incl. Performance Management)	Joint	2016/17	Limited	Limited	No	No	2	1	2	1	2	11		Yes	
85	Policy & Programmes	Community Wellbeing	Health	Joint	Not audited	No rating	No rating	No	No	2	1	3	1	1	11			
87	Policy & Programmes	Community Wellbeing	Assets of Community Value	Joint	2019/20	Satisfactory	Satisfactory	No	No	2	1	2	2	1	11			
103	Finance	Strategic Finance	Petty Cash Procedures	Joint	2018/19	Limited	Limited	No	No	2	2	2	1	1	11			Yes
104	Finance	Strategic Finance	Petty Cash Spot Checks	Joint	2017/18	Full	Full	No	No	2	2	2	1	1	11			Yes
129	Corporate Services	People & Culture	Learning & Development	Joint	2021/22	Audit in progress	Audit in progress	No	No	2	1	2	1	2	11			
130	Corporate Services	People & Culture	Gifts & Hospitality (officers)	Joint	2016/17	Substantial	Substantial	Yes	No	1	2	3	1	1	11	Yes		
145	Corporate Services	IT Programmes	IT Development	Joint	Not audited	No rating	No rating	No	No	1	1	3	1	3	11			
26	Legal & Democratic	Licensing & Community Safety	CCTV Contract	Joint	2009/10	Satisfactory	Satisfactory	No	No	2	1	2	1	1	10			
27	Housing & Environment	Housing Delivery	Housing Strategy	Joint	Not audited	No rating	No rating	No	No	1	1	3	2	1	10			
33	Housing & Environment	Housing Needs	Temporary Accommodation	Joint	2014/15	Satisfactory	Limited	Yes	No	1	1	3	1	2	10	Yes		
36	Housing & Environment	Environmental Services	Environmental Protection	Joint	2016/17	Limited	Satisfactory	No	No	1	1	3	2	1	10			Yes
61	Development & Corporate Landlord	Economic Development	OxLEP Local Economic Input	Joint	Not audited	No rating	No rating	No	No	2	1	2	1	1	10			
63	Partnerships	Strategic Partnerships	OxLEP & Enterprise Zones	Joint	Not audited	No rating	No rating	No	No	2	1	2	1	1	10			
121	Corporate Services	Communications & Engagement	Communications	Joint	Not audited	No rating	No rating	No	No	1	1	3	1	2	10			
124	Corporate Services	Communications & Engagement	Corporate Consultations	Joint	2015/16	Substantial	Substantial	Yes	No	1	1	3	1	2	10	Yes		
126	Corporate Services	Customer Services	Complaints	Joint	2016/17	Satisfactory	Satisfactory	No	No	1	1	3	1	2	10		Yes	
136	Corporate Services	People & Culture	HR Policy Framework	Joint	Not audited	No rating	No rating	No	No	1	1	2	2	2	10			
24	Legal & Democratic	Licensing & Community Safety	Community Safety	Joint	2010/11	Not known	Not known	No	No	1	1	3	1	1	9	Yes		
39	Housing & Environment	Environmental Services	Litter & Street Cleaning	Joint	Not audited	No rating	No rating	No	No	1	1	3	1	1	9			
56	Development & Corporate Landlord	Technical Services	Cemeteries	SODC	Not audited	No rating	No rating	No	No	1	1	2	1	2	9			
76	Policy & Programmes	Insight & Policy	External Funding	Joint	Not audited	No rating	No rating	No	No	2	1	1	1	1	9		Yes	
92	Policy & Programmes	Community Wellbeing	Active Communities	Joint	Not audited	No rating	No rating	No	Yes	1	1	3	1	1	9		Yes	
35	Housing & Environment	Housing Needs	Private Sector Housing	Joint	Not audited	No rating	No rating	No	No	1	1	2	1	1	8			
55	Development & Corporate Landlord	Technical Services	Engineering Services (Sewerage, Flooding, Drainage)	Joint	Not audited	No rating	No rating	No	No	1	1	2	1	1	8			
58	Development & Corporate Landlord	Technical Services	Moorings	VVHDC	2019/20	No rating	Satisfactory	No	No	1	1	2	1	1	8			
66	Partnerships	Future Partnerships	Arc	Joint	Not audited	No rating	No rating	No	No	1	1	2	1	1	8			
77	Policy & Programmes	Insight & Policy	External Consultations & Motions	Joint	Not audited	No rating	No rating	No	No	1	1	2	1	1	8			
81	Policy & Programmes	Planning Policy	Neighbourhood Planning	Joint	2015/16	Limited	Limited	No	No	1	1	2	1	1	8			
82	Policy & Programmes	Planning Policy	Community Led Planning	Joint	Not audited	No rating	No rating	No	No	1	1	2	1	1	8			
90	Policy & Programmes	Community Wellbeing	Arts Development	Joint	Not audited	No rating	No rating	No	Yes	1	1	2	1	1	8		Yes	
122	Corporate Services	Communications & Engagement	Community Engagement	Joint	Not audited	No rating	No rating	No	No	1	1	2	1	1	8			
123	Corporate Services	Communications & Engagement	Marketing	Joint	Not audited	No rating	No rating	No	No	1	1	2	1	1	8			
125	Corporate Services	Customer Services	Customer Services	Joint	Not audited	No rating	No rating	No	Yes	1	1	2	1	1	8		Yes	
138	Corporate Services	People & Culture	Diversity & Inclusion	Joint	2011/12	Satisfactory	Satisfactory	No	No	1	1	2	1	1	8		Yes	
147	Corporate Services	IT Programmes	Street Naming & Numbering	Joint	2018/19	Satisfactory	Satisfactory	No	No	1	1	2	1	1	8			Yes
6	Planning	Planning Business	Registration DM	Joint	2019/20	Satisfactory	Satisfactory	No	No	1	1	1	1	1	7		Yes	
7	Planning	Planning Business	Footpaths	Joint	Not audited	No rating	No rating	No	No	1	1	1	1	1	7			
8	Planning	Planning Business	Planning Business Support	Joint	2019/20	Satisfactory	Satisfactory	No	No	1	1	1	1	1	7		Yes	
9	Planning	Planning Business	Urban Design	Joint	2019/20	Satisfactory	Satisfactory	No	No	1	1	1	1	1	7		Yes	
10	Planning	Planning Business	Trees	Joint	Not audited	No rating	No rating	No	No	1	1	1	1	1	7			
11	Planning	Planning Business	Landscape	Joint	2019/20	Satisfactory	Satisfactory	No	No	1	1	1	1	1	7		Yes	
12	Planning	Planning Business	Ecology	Joint	2019/20	Satisfactory	Satisfactory	No	No	1	1	1	1	1	7		Yes	
13	Planning	Planning Business	Conservation & Listed Buildings	Joint	2019/20	Satisfactory	Satisfactory	No	No	1	1	1	1	1	7		Yes	
60	Development & Corporate Landlord	Economic Development	Market Towns	Joint	Not audited	No rating	No rating	No	No	1	1	1	1	1	7			
74	Policy & Programmes	Insight & Policy	Insight, Policy, Influence	Joint	Not audited	No rating	No rating	No	No	1	1	1	1	1	7			
78	Policy & Programmes	Insight & Policy	Climate & Biodiversity	Joint	Not audited	No rating	No rating	No	No	1	1	1	1	1	7			
146	Corporate Services	IT Programmes	Data Capture/Geographical Information Systems (GIS)	Joint	2008/09	Satisfactory	Satisfactory	No	No	1	1	1	1	1	7			Yes