PROGRESS AGAINST PLANNED AUDITS 2022/23

Audit Area As at 27 June 2022	Status	Audit Allocation	Total Days Used	Exception Issues	Audit Opinion	No. of Recs	High	No. Agreed	Medium	No. Agreed	Low	No. Agreed	Total Not Agreed
KEY FINANCIAL AUDITS													
Accounts Payable (19)	**To Commence Q4**												
SODC		6.0	0.0										
VWHDC		6.0	0.0										
Accounts Receivable (18)	**To Commence Q3**												
SODC		6.0	0.0										
VWHDC		6.0	0.0										
Capital Management & Accounting (15)	**To Commence Q4**												
SODC		3.5	0.0										
VWHDC		3.5	0.0										
Council Tax (19)	**To Commence Q2**												
SODC		8.0	0.0										
VWHDC		8.0	0.0										
General Ledger (18)	**To Commence Q4**												
SODC		3.5	0.0										
VWHDC		3.5	0.0										
Housing Benefits & Council Tax Reduction Scheme (19)	**To Commence Q3**												
SODC		12.0	0.0										
VWHDC		12.0	0.0										
National Non-Domestic Rates (NNDR) (19)	**To Commence Q3**												
SODC		8.0	0.0										
VWHDC		8.0	0.0										
Payroll (21)	**In Progress**												
SODC		10.0	4.5										
VWHDC		10.0	4.0										
Treasury Management (18)	**To Commence Q4**												
SODC		2.5	0.0										
VWHDC		2.5	0.0										
PLANNED ASSURANCE AUDITS													
Grounds & Parks Maintenance (incl. ROSPA, Tree Management & Inspections) (17)	**To Commence Q3**												
SODC		15	0.0										
VWHDC		15	0.0										
Housing Allocations (12)	**To Commence Q2**												
SODC		8.0	1.0										
VWHDC		8.0	1.0										
Temporary Accommodation (10)	**To Commence Q4**												
SODC		8.0	0.0										
VWHDC		8.0	0.0										
Food Safety (11)	**To Commence Q4**												
SODC		10.0	0.0										
VWHDC		10.0	0.0										
Financial Accounting (19)	**To Commence Q2**												
SODC		8.0	0.0										
VWHDC		8.0	0.0										
Leases (13)	**To Commence Q2**												
SODC		8.0	0.0										
VWHDC		8.0	0.0										
Energy Rebate (17)	**To Commence Q2**												
SODC		8.0	0.0										
VWHDC		8.0	0.0										
Discretionary Fund (17)	**To Commence Q3**												
SODC		8.0	0.0										
VWHDC		8.0	0.0										
Gifts and Hospitality (Officers & Councillors) (11)	**In Progress**												
SODC		3.5	0.5										
VWHDC		3.5	0.5										
Community Safety (9)	**In Progress**												
SODC		3.5	0.5										
VWHDC		3.5	0.5										
Corporate Consultations (10)	**To Commence Q3**												
SODC		8.0	0.0										
VWHDC		8.0	0.0										
Grievance Policy (12)	**In Progress**												
SODC		3.5	2.5										
VWHDC		3.5	2.5										
Health & Safety (incl. Lone Working) (16)	**To Commence Q2**												
SODC		10.0	0.0										
VWHDC		10.0	0.0										
Information Security (21)	**In Progress**												
SODC		10.0	0.0										
VWHDC		10.0	0.0										
IT General Controls - Council Managed Systems (20)	**In Progress**												
SODC		15.0	2.0										
VWHDC		15.0	1.5										
Corporate Delivery Framework (14)	**To Commence Q4**												
SODC		10.0	0.0										
VWHDC		10.0	0.0										
Business Continuity (17)	**To Commence Q4**												
SODC		8.0	0.0										
VWHDC		8.0	0.0										
Risk Management (17)	**To Commence Q4**												
SODC		8.0	0.0										
VWHDC		8.0	0.0										
PLANNED CONSULTANCY AUDITS													
Building Control (14)	**To Commence Q4**												
SODC		10.0	0.0										
VWHDC		10.0	0.0										
Ocella System Functionality (14)	**To Commence Q2**												
SODC		10.0	0.0										
VWHDC		10.0	0.0										
Garden Communities (16)	**To Commence Q4**												
SODC		10.0	0.0										
VWHDC		10.0	0.0										
JOINT PLANNED AUDIT TOTALS	**30**	**484.0**	**21.0**			**0**	**0**	**0**	**0**	**0**	**0**	**0**	**0**
Completed	**0**			**Full**	**0**								
Draft Out	**0**			**Substantial**	**0**								
In Progress	**6**			**Satisfactory**	**0**								
To Commence	**24**			**Limited**	**0**								
	30	**0**											