

System Name	Status	Audit Allocation	Total Days Used	Exception Issues	Audit Opinion	No. of Recs	High	No. Agreed	Medium	No. Agreed	Low	No. Agreed	Total Not Agreed
As at 31 December 2013													
JOINT													
Payroll (23 priority score)	Completed	32											
SODC			17.5		Satisfactory	6	0	0	3	3	3	3	0
VWHDC			17.5		Satisfactory	6	0	0	4	4	2	2	0
Disaster Recovery (21)	Completed	10											
SODC			5.0		Satisfactory	8	0	0	5	4	3	3	1
VWHDC			5.0		Satisfactory	7	0	0	6	5	1	1	1
Section 106 Commuted Sum/CIL (21)	To commence Q4	20											
SODC			0.0										
VWHDC			0.0										
Housing & Council Tax Reduction Scheme (20)	In progress	30											
SODC			10.0										
VWHDC			10.0										
National Non-Domestic Rate (20)	Completed	24											
SODC			11.0		Full	2	0	0	1	1	1	1	0
VWHDC			11.0		Full	0	0	0	0	0	0	0	0
Council Tax (19)	Completed	24											
SODC			12.5		Full	1	0	0	0	0	1	1	0
VWHDC			12.5		Full	1	0	0	0	0	1	1	0
Creditor Payments (19)	Completed	20											
SODC			11.0		Full	1	0	0	1	1	0	0	0
VWHDC			10.5		Full	0	0	0	0	0	0	0	0
General Ledger (19)	In progress	20											
SODC			6.5										
VWHDC			6.5										
Sundry Debtors (19)	In progress	20											
SODC			6.0										
VWHDC			6.0										
Pro-Active Anti-Fraud Review (19)	To commence Q4	16											
SODC			0.5										
VWHDC			0.5										
Cash Office (19)	To commence Q4	10											
SODC			0.0										
VWHDC			0.0										

Business Continuity (19)	Completed	14												
SODC			5.5		Satisfactory	8	0	0	6	6	2	2	0	
VWHDC			5.5		Satisfactory	8	0	0	6	6	2	2	0	
Health & Safety (18)	Completed	20												
SODC			8.0		Satisfactory	4	1	1	3	3	0	0	0	
VWHDC			8.0		Satisfactory	4	1	1	3	3	0	0	0	
Treasury Management (17)	In progress	14		Audit work commenced in November 2013 and will complete in February 2014 to allow for sufficient testing data										
SODC			3.0											
VWHDC			3.0											
Capital Management and Accounting (17)	Draft Issued	14												
SODC			6.0											
VWHDC			6.0											
Council Fees & Charges (17)	To commence Q4	20												
SODC			0.0											
VWHDC			0.0											
Licensing (17)	Completed	20												
SODC			12.5		Limited	12	0	0	5	5	7	7	0	
VWHDC			12.0		Limited	15	0	0	7	7	8	8	0	
Lone Working/ Officer Security (16)	Completed	20												
SODC			10.0		Satisfactory	6	0	0	3	2	3	3	1	
VWHDC			10.0		Satisfactory	4	0	0	2	1	2	2	1	
Brown Bins (16)	Completed	20												
SODC			9.5		Full	1	0	0	0	0	1	1	0	
VWHDC			9.5		Full	1	0	0	0	0	1	1	0	
Post Room (including receipt of valuable items) (16)	Completed	20												
SODC			7.0		Satisfactory	6	0	0	1	1	5	5	0	
VWHDC			7.0		Satisfactory	4	0	0	0	0	4	4	0	
Intra Council Recharges (16)	Completed	20												
SODC			8.5		Satisfactory	5	1	1	3	3	1	1	0	
VWHDC			8.5		Satisfactory	4	1	1	3	3	0	0	0	
Temporary Accommodation (including rent deposit, rent in advance & rent accounting) (15)	To commence Q4	24												
SODC			0.5											
VWHDC			0.5											
Stock Control (15)	Completed	12												
SODC			6.0		Satisfactory	6	0	0	3	3	3	3	0	
VWHDC			6.0		Satisfactory	4	0	0	2	2	2	2	0	
RIPA (15)	Completed	10												
SODC			3.5		Full	3	0	0	0	0	3	3	0	
VWHDC			3.5		Full	3	0	0	0	0	3	3	0	
Credit Card Usage (14)	In progress	8												
SODC			4.0											
VWHDC			4.0											

HR Grievance Procedures (13)	To commence Q4	14												
SODC			0.0											
VWHDC			0.0											
Environmental Health Food & Safety (8)	Completed	20												
SODC			11.0		Satisfactory	9	0	0	2	1	7	6	2	
VWHDC			11.0		Satisfactory	7	0	0	1	1	6	5	1	
SODC														
Community Loans Scheme (14)	Completed	4	4.0		Full	3	0	0	1	1	2	2	0	
Leader Project Grant Verification (9)	Completed	5	3.0		-	0	0	0	0	0	0	0	0	
VWHDC														
Wantage Civic Hall (14)	To commence Q4	10	0.0											
IA PLANNED AUDIT TOTALS	-	515	356		Full	149	4	4	71	66	74	72	7	
					Satisfactory									
					Limited									
					Nil									

FOLLOW UP AUDITS 2012/2013

System Name	Total Days Used	Original Audit Opinion Issued	Total No. of Recs Agreed	Implemented	Partly Implemented	Not Implemented	Ongoing
SODC							
Verification of budgets savings 2011/2012	0.5	Satisfactory	3	1	2	0	0
Discretionary grants	0.5	Satisfactory	4	3	0	0	1
Carbon management programme	0.5	Satisfactory	7	1	0	1	5
Seasonal leisure activities	0.5	Full	2	1	0	1	0
Information governance	0.5	Satisfactory	3	1	0	1	1
Data protection	0.5	Satisfactory	5	1	2	0	2
Mobile Home Parks	1	Satisfactory	9	5	1	3	0
Cornerstone	0.5	Satisfactory	6	4	1	1	0
Budgetary Control	0.5	Full	4	3	0	0	1
Development Management	0.5	Full	2	1	0	1	0
Receipt of Income	0.5	Satisfactory	2	1	0	0	1
VWHDC							
Verification of budget savings 2011/2012	0.5	Satisfactory	3	1	2	0	0
Discretionary grants	0.5	Limited	7	5	0	0	2
Carbon management programme	0.5	Satisfactory	7	1	0	1	5
Seasonal leisure activities	0.5	Full	2	2	0	0	0
Information governance	0.5	Satisfactory	3	1	0	1	1
Data protection	0.5	Satisfactory	5	1	2	0	2
Mobile Home Parks	1	Satisfactory	11	4	2	4	1
Budgetary Control	0.5	Full	2	2	0	0	0
Development Management	0.5	Full	1	1	0	0	0
Receipt of Income	0.5	Satisfactory	2	1	0	0	1

FOLLOW UP AUDITS 2013/2014

System Name	Total Days Used	Original Audit Opinion Issued	Total No. of Recs Agreed	Implemented	Partly Implemented	Not Implemented	Ongoing
SODC							
Community Loans Scheme	0.5	Full	3	2	0	0	1
Lone Working & Officer Security	0.5	Satisfactory	5	1	0	4	0
VWHDC							
Lone Working & Officer Security	0.5	Satisfactory	3	0	0	3	0

UNPLANNED WORK 2013/2014

CONSULTANCY

System Name	Status	Audit Allocation	Total Days Used	Requested By
JOINT				
Banking Services Tender	Completed	2 days	N/A	S151 Officer
SODC				
None				
VWHDC				
None				

CONTINGENCY

System Name	Status	Audit Allocation	Total Days Used	Requested By
JOINT				
None				
SODC				
None				
VWHDC				
S106 Calculated Sums	Completed	10 days	N/A	Chief Executive

SYSTEM DEVELOPMENT

System Name	Status	Audit Allocation	Total Days Used	Requested By
JOINT				
None				
SODC				
None				
VWHDC				
None				

This page is intentionally left blank