

Audit Area	Status	Comments	Internal Audit Opinion	No. of Recs	High	No. Agreed	Medium	No. Agreed	Low	No. Agreed	Total Not Agreed
KEY FINANCIAL AUDITS											
Accounts Payable (19) South Vale	To Commence Q4										
Accounts Receivable (18) South Vale	To Commence Q4										
Capital Management & Accounting (15) South Vale	To Commence Q4										
Council Tax (19) South Vale	In Progress										
General Ledger (18) South Vale	To Commence Q4										
Housing Benefits & Council Tax Reduction Scheme (19) South Vale	In Progress										
National Non-Domestic Rates (NNDR) (19) South Vale	Draft Out										
Payroll (21) South Vale	Completed		Substantial Substantial	8 8	0 0	0 0	1 1	1 1	7 7	7 7	0 0
Treasury Management (18) South Vale	To Commence Q4										
PLANNED ASSURANCE AUDITS											
Grounds & Parks Maintenance (incl. ROSPA, Tree Management & Inspections) (17) South Vale	In Progress										
Housing Allocations (12) South Vale	Completed		Satisfactory Satisfactory	9 9	0 0	0 0	2 2	2 2	7 7	7 7	0 0
Temporary Accommodation (10) South Vale	To Commence Q4										
Food Safety (11) South Vale	To Commence Q4										
Financial Accounting (19) South Vale	In Progress										
Leases (13) South Vale	In Progress										
Energy Rebate (17) South Vale	Completed		Full Full	0 0	0 0	0 0	0 0	0 0	0 0	0 0	0 0
Discretionary Fund (17) South Vale	In Progress										
Gifts and Hospitality (Officers & Councillors) (11) South Vale	Completed		Satisfactory Satisfactory	4 4	0 0	0 0	4 4	4 4	0 0	0 0	4 4
Community Safety (9) South Vale	Completed		Substantial Substantial	7 7	0 0	0 0	1 1	1 1	1 1	1 1	2 2
Corporate Consultations (10) South Vale	Completed		Satisfactory Satisfactory	10 10	0 0	0 0	2 2	2 2	8 8	8 8	0 0
Grievance Policy (12) South Vale	Completed		Substantial Substantial	7 7	0 0	0 0	1 1	1 1	6 6	6 6	7 7
Health & Safety (incl. Lone Working) (16) South Vale	Draft Out										
Information Security (21) South Vale	Completed		Limited Limited	11 11	6 6	6 6	3 3	3 3	2 2	2 2	0 0
IT General Controls - Council Managed Systems (20) South Vale	In Progress										
Corporate Delivery Framework (14) South Vale	To Commence Q4										
Business Continuity (17) South Vale	To Commence Q4										
Risk Management (17) South Vale	To Commence Q4										
Garden Communities (16) South Vale	To Commence Q4	Audit changed from consultancy to assurance review. Revised scope to provide assurance on the compliance of processes to policies and procedures.									
Active Communities (8) South Vale	To Commence Q4	New audit added to Q4 to review management of programme/schedule of work, including allocation of funds.									
Mobile Home Parks (12) South Vale	To Commence Q4	New audit added to Q4 to undertake a high-level review of MHP operations.									
Cash Office (17) South Vale	To Commence Q4	New audit added to Q4 to review cash office arrangements, following the move to Abbey House.									
PLANNED CONSULTANCY AUDITS											
Building Control (14) South Vale	Deferred	Audit deferred, pending requirements of new legislation (Building Safety Act 2022).	Not applicable Not applicable								
Ocella System Functionality (14) South Vale	Completed	No recommendations raised and no overall audit opinion. Internal audit provided critical friend support.	Not applicable Not applicable								
JOINT PLANNED AUDIT TOTALS	33		20	112	12	12	28	28	62	62	26
	Completed 9		Full 2								
	Draft Out 2		Substantial 6								
	In Progress 7		Satisfactory 6								
	To Commence Q4 14		Limited 2								
	Deferred 1		Not applicable 4								